PTO-1390 (Rev. 07-2005)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
OGN-048.1P US

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 10/586785									
INTERNATIONAL APPLICATION NO. PCT/EP2005/000650		INTERNATIONAL FILING DATE January 24, 2005	PRIORITY DATE OF THE January 23, 2004						
TITLE OF INVENTION CONTAMINATION BARRIER									
APPLICANT(S) FOR DO/EO/US									
Thomas ROTHMANN, Markus SPRENGER-HAUSSELS, Petra BENKEL, Sabine HERMES, Friederike WILMER									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. <b>X</b> T	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
2. L TI	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. 🔲 т	The US has been elected (Article 31).								
5.	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
	a. is attached hereto (required only if not communicated by the International Bureau).								
	b.  has been communicated by the International Bureau.								
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. <b>X</b>	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
	a. is attached hereto.								
	b.  has been previously submit	itted under 35 U.S.C. 154(d)(4).							
7. ×	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
	a. are attached hereto (required only if not communicated by the International Bureau).								
	b. have been communicated by the International Bureau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.								
	d. kave not been made and will not be made.								
8. 🗆	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9. <b>x</b>	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unexecuted)								
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items '	Items 11 to 20 below concern document(s) or information included:								
11. 🔲	An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.							
12. 🔲	An assignment document for recording	ng. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.						
13. 🗷	A preliminary amendment.								
14. 🔲	An Application Data Sheet under 37	CFR 1.76.							
15. $\square$	A substitute specification.								
16. 🗷	A power of attorney and/or change of address letter. (Unexecuted)								
17. 🔲	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.								
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19. 🔲	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IAP11 Rec'd PCT/PTO 21 JUL 2006

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ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. U.S. APPLICATION NO. (if known see, 37 CFR 1.5) PCT/EP2005/000650 **QGN-048.1P US** to be so igned 20. Other items or information: CALCULATIONS PTO USE ONLY The following fees have been submitted 21. Basic national fee (37 CFR 1.492(a))......\$300 300 Examination fee (37 CFR 1.492(c)) 22.  $\blacksquare$ \$ 200 If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 All other situations.....\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 400 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations.....\$500 \$900 TOTAL OF 21, 22 and 23 = . Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction **Total Sheets Extra Sheets** RATE thereof (round up to a whole number) 0 23 - 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER EXTRA NUMBER FILED RATE \$ CLAIMS \$ 50 \$ Total claims -20 =200 24 4 \$200 \$ 0 Independent claims - 3 = 0 2 X 0 \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 \$ **TOTAL OF ABOVE CALCULATIONS =** 200 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = 1100 \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property 1,100.00 TOTAL FEES ENCLOSED = Amount to be \$ refunded: Amount to be \$ charged

# **90/586785** IAP11 Rec'd PCT/PTO 21 JUL 2006

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ь. 🏻	Please charge my Deposit According Adupticate copy of this sheet is	ount No enclosed.	_ in the amount of \$	to o	over the above fees.		
c. 🗶	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0268. A duplicate copy of this sheet is enclosed.						
d. 🗆	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
•							
SEND ALL CORRESPONDENCE TO:							
Leon R. Yankwich, Esq.				SIGNATURE			
YANKWICH & ASSOCIATES, P.C.				David G. O'Brien			
			•	NAME			
201 Broadway				46,125			
Cambridge, MA 02139				REGISTRATION NUMBER			
	·			July 20, 2	2006		
·							
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#### 10/586785 IAP11 Rec'd PCT/PTO 21 JUL 2006

### PATENT COOPERATION TREATY IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

Application of: RO7

ROTHMANN et al.

Serial No.:

(not yet assigned)

Art Unit: (not yet assigned)

Filed:

(concurrently herewith)

Examiner: (not yet assigned)

Entitled:

**CONTAMINATION BARRIER** 

U.S. national phase of Int'l Appln. PCT/EP05/000650 filed Jan. 24, 2005, designating the U.S.

Atty. Docket No.: QGN-048.1P US

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **NATIONAL STAGE APPLICATION TRANSMITTAL:**

- 1. Designated/Elected Office (DO/EO/US) Transmittal Form PTO-1390 (3 pages);
- 2. Duplicate copy of Transmittal Form PTO-1390 pages 2 & 3 Fee Calculation/Authorization;
- 3. Preliminary Amendment (8 pages);
- 4. Oath/Declaration and Power of Attorney Unexecuted (4 pages);
- 5. Copy of published International Application as filed (26 pages);
- 6. Copy of English Translation of International Application as filed (23 pages);
- 7. Check No. 7055 in the amount of \$1,100.00 to cover the national application filing fees; and

8. return receipt postcard.

Respectfully submitted

Leon R. Yankwich, Reg. No. 20,237 David G. O'Brien, Reg. No. 46,125

Attorneys for Applicants

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#### CERTIFICATE OF MAILING BY "EXPRESS MAIL"

The undersigned hereby certifies that this correspondence listed above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10, postage prepaid, Express Mailing Label No. EV 848417945 US, in an envelope addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

July 20, 2006 date

Melanie A. McFadden